

# Connecting Leptogenesis to Low Energy LFV Processes in Models with Spontaneous CP Violation

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work done in collaboration with K.T. Mahanthappa, Phys. Rev. D71, 035001 (2005)  
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# Baryon Number Asymmetry in SM

CP violation in quark sector not sufficient to explain the observed matter -anti-matter asymmetry of the Universe

$$n_b/s = (0.87 \pm 0.04) \times 10^{-10}$$

- CP phase in CKM matrix:

$$B \simeq \frac{\alpha_w^4 T^3}{s} \delta_{CP} \simeq 10^{-8} \delta_{CP} \quad \delta_{CP} \simeq \frac{A_{CP}}{T_C^{12}} \simeq 10^{-20}$$

$$A_{CP} = (m_t^2 - m_c^2)(m_c^2 - m_u^2)(m_u^2 - m_t^2)(m_b^2 - m_s^2)(m_s^2 - m_d^2)(m_d^2 - m_b^2) \cdot J$$

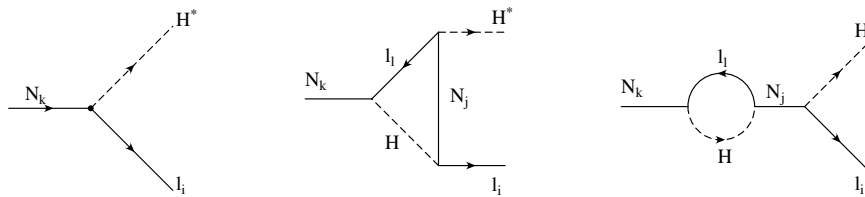
- effects of CP violation suppressed by small quark mixing

$$\longrightarrow B \sim 10^{-28}$$

too small to account for the observed  $B \sim 10^{-10}$

# Leptogenesis

- non-zero neutrino masses: additional CPV sources from lepton sector
- primordial lepton number asymmetry due to out-of-equilibrium decays of RH neutrinos



$$\epsilon_1 \simeq -\frac{3}{8\pi} \frac{1}{(h_\nu h_\nu^\dagger)_{11}} \sum_{i=2,3} \text{Im} \left\{ (h_\nu h_\nu^\dagger)_{1i}^2 \right\} \frac{M_1}{M_i}$$

- sphaleron effects:  $\Delta L \rightarrow \Delta B$

# Connection to Low Energy Observables

- Lagrangian at high energy (in the presence of RH neutrinos)

$$\mathcal{L} = \bar{\ell}_{L_i} i\gamma^\mu \partial_\mu \ell_{L_i} + \bar{e}_{R_i} i\gamma^\mu \partial_\mu e_{R_i} + \bar{N}_{R_i} i\gamma^\mu \partial_\mu N_{R_i} \\ + f_{ij} \bar{e}_{R_i} \ell_{L_j} H^\dagger + h_{ij} \bar{N}_{R_i} \ell_{L_j} H - \frac{1}{2} M_{ij} N_{R_i} N_{R_j} + h.c.$$

in  $f_{ij}$  and  $M_{ij}$  diagonal basis  $\rightarrow$

$h_{ij}$  general complex matrix:  $\begin{cases} 9-3 = 6 \text{ mixing angles} \\ 9-3 = 6 \text{ physical phases} \end{cases}$

- Low energy effective Lagrangian (after integrating out RH neutrinos)

$$\mathcal{L}_{eff} = \bar{\ell}_{L_i} i\gamma^\mu \partial_\mu \ell_{L_i} + \bar{e}_{R_i} i\gamma^\mu \partial_\mu e_{R_i} + f_{ii} \bar{e}_{R_i} \ell_{L_i} H^\dagger + \frac{1}{2} \sum_k h_{ik}^T h_{kj} \ell_{L_i} \ell_{L_j} \frac{H^2}{M_k} + h.c.$$

in  $f_{ij}$  diagonal basis  $\rightarrow$

$h_{ij}$  symmetric complex matrix:  $\begin{cases} 6-3 = 3 \text{ mixing angles} \\ 6-3 = 3 \text{ physical phases} \end{cases}$

- high energy  $\rightarrow$  low energy:

numbers of mixing angles and CP phases reduced by half

# Connection to Low Energy Observables

- diagonal basis for charged lepton and RH neutrino mass matrices

- neutrino Yukawa interactions  $h = V_R^{\nu\dagger} \text{diag}(h_1, h_2, h_3) V_L^\nu$

- CP asymmetry parametrized by (orthogonal parametrization)

$$m = \text{diag}(m_1, m_2, m_3) \quad (\text{light neutrino masses}) \quad (\text{Casas \& Ibarra, 2001})$$

$$M = \text{diag}(M_1, M_2, M_3) \quad (\text{RH neutrino masses})$$

$$R = v M^{-1/2} h U m^{-1/2} \quad \text{R: phases in RH sector}$$

$$h h^\dagger v^2 = V_R^{\nu\dagger} \text{diag}(h_1^2, h_2^2, h_3^2) V_R^\nu v^2 = M^{1/2} R m R^\dagger M^{1/2}$$

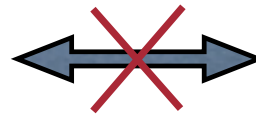


- lepton number asymmetry (in one-flavor approximation)

$$\epsilon_1 = \sum_{\alpha=e,\mu,\tau} \epsilon^{\alpha\alpha} = -\frac{3M_1}{16\pi v^2} \frac{\text{Im}(\sum_\rho m_\rho^2 R_{1\rho}^2)}{\sum_\beta m_\beta |R_{1\beta}|^2}$$

# Connection to Low Energy Observables

absence of low energy leptonic CPV  
(neutrino oscillation, neutrinoless  
double beta decay)



leptogenesis  $\neq 0$

- **Flavor matters?**

leptogenesis at  $T \sim M_1 < 10^{12}$  GeV:

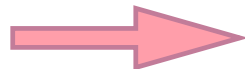
three flavors distinguishable (different  $T_{\text{eq}}$ )

non-universal wash-out factors

- asymmetry associated with each flavor

$$\epsilon_\alpha = -\frac{3M_1}{16\pi v^2} \frac{\text{Im}(\sum_{\beta\rho} m_\beta^{1/2} m_\rho^{3/2} U_{\alpha\beta}^* U_{\alpha\rho} R_{1\beta} R_{1\rho})}{\sum_\beta m_\beta |R_{1\beta}|^2}$$

leptogenesis  $\neq 0$



low energy CPV  $\neq 0$

# Sources of CP Violation

- Manifestations of CP violation
  - ▶ weak scale CPV (kaon, B-meson, neutrino oscillation, ...)
  - ▶ cosmological BAU
  - ▶ strong CP problem
    - ⇒ can they come from a common origin??
- Explicit CP violation
  - ▶ complex Yukawa couplings
- Spontaneous CP violation
  - ▶ complex VEV
    - ▶ domain walls?
    - ▶ sufficiently large phases?

# Minimal Left-Right Model

Pati, Salam, 1974;  
Mohapatra, Pati, 1975;  
Senjanovic, Mohapatra, 1975

- gauge symmetry:  $SU(3)_C \times SU(2)_L \times SU(2)_R \times U(1)_{B-L} \times P$

$$\rightarrow SU(3)_C \times SU(2)_L \times U(1)_Y \quad Q = T_{3,L} + T_{3,R} + \frac{1}{2}(B-L)$$

- particle content:

- fermions:  $Q_{i,L} = \begin{pmatrix} u \\ d \end{pmatrix}_{i,L} \sim (1/2, 0, 1/3) \quad Q_{i,R} = \begin{pmatrix} u \\ d \end{pmatrix}_{i,R} \sim (0, 1/2, 1/3)$   
 $L_{i,L} = \begin{pmatrix} e \\ \nu \end{pmatrix}_{i,L} \sim (1/2, 0, -1) \quad L_{i,R} = \begin{pmatrix} e \\ \nu \end{pmatrix}_{i,R} \sim (0, 1/2, -1)$

- Higgs sector:

$$\Phi = \begin{pmatrix} \phi_1^0 & \phi_2^+ \\ \phi_1^- & \phi_2^0 \end{pmatrix} \sim (1/2, 1/2, 0)$$

$$\Delta_L = \begin{pmatrix} \frac{1}{\sqrt{2}} \Delta_L^+ & \Delta_L^{++} \\ \Delta_L^0 & -\frac{1}{\sqrt{2}} \Delta_L^+ \end{pmatrix} \sim (1, 0, +2)$$

$$\Delta_R = \begin{pmatrix} \frac{1}{\sqrt{2}} \Delta_R^+ & \Delta_R^{++} \\ \Delta_R^0 & -\frac{1}{\sqrt{2}} \Delta_R^+ \end{pmatrix} \sim (0, 1, +2)$$

- Under P:

$$\psi_L \leftrightarrow \psi_R, \quad \Delta_L \leftrightarrow \Delta_R, \quad \Phi \leftrightarrow \Phi^+$$



# Minimal Left-Right Model

- In general,

$$\langle \Phi \rangle = \begin{pmatrix} \kappa e^{i\alpha_\kappa} & 0 \\ 0 & \kappa' e^{i\alpha_{\kappa'}} \end{pmatrix}, \quad \langle \Delta_L \rangle = \begin{pmatrix} 0 & 0 \\ v_L e^{i\alpha_L} & 0 \end{pmatrix}, \quad \langle \Delta_R \rangle = \begin{pmatrix} 0 & 0 \\ v_R e^{i\alpha_R} & 0 \end{pmatrix}$$

- To get realistic SM gauge boson masses:

$$\kappa^2 + \kappa'^2 \cong 2m_W^2 / g^2 \cong (174 \text{ GeV})^2$$

- two triplet VEV's are related

$$v_L = \beta \frac{\kappa^2}{v_R}$$

so  $U_L$  is seesaw suppressed

Small neutrino masses:  $U_R \sim 10^{15} \text{ GeV}$ ;  $U_L \sim 0.01 \text{ eV}$

$\Rightarrow$  precision EW constraints OK

## Two Intrinsic CP Phases

- The Lagrangian is invariant under two unitary transformations

$$U_L = \begin{pmatrix} e^{i\gamma_L} & 0 \\ 0 & e^{-i\gamma_L} \end{pmatrix}, \quad U_R = \begin{pmatrix} e^{i\gamma_R} & 0 \\ 0 & e^{-i\gamma_R} \end{pmatrix}$$

- under  $U_L$  and  $U_R$ :

$$\psi_L \rightarrow U_L \psi_L, \quad \psi_R \rightarrow U_R \psi_R \quad \Phi \rightarrow U_R \Phi U_L^\dagger, \quad \Delta_L \rightarrow U_L^* \Delta_L U_L^\dagger, \quad \Delta_R \rightarrow U_R^* \Delta_R U_R^\dagger$$

$$\kappa \rightarrow \kappa e^{-i(\gamma_L - \gamma_R)}, \quad \kappa' \rightarrow \kappa' e^{i(\gamma_L - \gamma_R)}$$

$$v_L \rightarrow v_L e^{-2i\gamma_L}, \quad v_R \rightarrow v_R e^{-2i\gamma_R}$$

- rotate away two of the four CP phases: only two physical phases remain

$$\langle \Phi \rangle = \begin{pmatrix} \kappa & 0 \\ 0 & \kappa' e^{i\alpha_{\kappa'}} \end{pmatrix}, \quad \langle \Delta_L \rangle = \begin{pmatrix} 0 & 0 \\ v_L e^{i\alpha_L} & 0 \end{pmatrix}, \quad \langle \Delta_R \rangle = \begin{pmatrix} 0 & 0 \\ v_R & 0 \end{pmatrix}$$

- scalar potential

# Yukawa Interactions

- quarks:  $-L_q = \bar{Q}_{i,R}(F_{ij}\Phi + G_{ij}\bar{\Phi})Q_{j,L} + h.c.$  where  $\bar{\Phi} = \tau_2\Phi^*\tau_2$
- mass matrices  $M_u = F_{ij}\kappa + G_{ij}\kappa' e^{-i\alpha_{\kappa'}}$ ,  $M_d = F_{ij}\kappa' e^{i\alpha_{\kappa'}} + G_{ij}\kappa$ 
  - ▶ SCPV  $\Rightarrow$  all Yukawa coupling constants real
  - ▶  $\alpha_{\kappa'}$  responsible for all CPV in quark sector
  - ▶ to suppress FCNC

$\Rightarrow$  large hierarchy between two doublet VEV's

$$\kappa/\kappa' \cong m_t/m_b \gg 1$$

# Yukawa Interactions

- lepton sector

$$-L_l = \bar{L}_{i,R} (P_{ij} \Phi + R_{ij} \bar{\Phi}) L_{j,L} + f_{ij} (L_{i,L}^T \Delta_L L_{j,L} + L_{i,R}^T \Delta_R L_{j,R}) + h.c.$$

- mass matrices

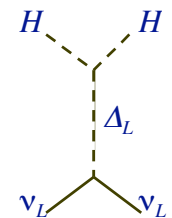
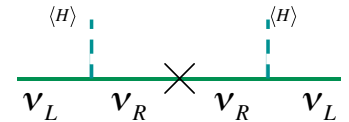
$$M_e = P_{ij} \kappa' e^{i\alpha_{\kappa'}} + R_{ij} \kappa$$

$$M_{\nu}^{Dirac} = P_{ij} \kappa + R_{ij} \kappa' e^{-i\alpha_{\kappa'}}, \quad M_{\nu}^{LL} = f_{ij} \nu_L e^{i\alpha_L}, \quad M_{\nu}^{RR} = f_{ij} \nu_R,$$

$$\begin{pmatrix} M_{LL} & M_{LR}^T \\ M_{LR} & M_{RR} \end{pmatrix}$$

$$SU(2)_L \times SU(2)_R \times U(1)_{B-L} \xrightarrow{\nu_R} SU(2)_L \times U(1)_Y \Rightarrow \begin{pmatrix} 0 & 0 \\ 0 & f\nu_R \end{pmatrix}$$

$$\xrightarrow{\nu_{ew}} U(1)_{EM} \Rightarrow \begin{pmatrix} f\nu_L & h\nu_{ew} \\ h\nu_{ew} & f\nu_R \end{pmatrix}$$



# Yukawa Interactions

- lepton sector

$$M_e = P_{ij} \kappa' e^{i\alpha_{\kappa'}} + R_{ij} \kappa$$

$$M_{\nu}^{Dirac} = P_{ij} \kappa + R_{ij} \kappa' e^{-i\alpha_{\kappa'}}, \quad M_{\nu}^{LL} = f_{ij} \nu_L e^{i\alpha_L}, \quad M_{\nu}^{RR} = f_{ij} \nu_R,$$

$$M_{\nu}^I = (M_{\nu}^{Dirac})^T (M_{\nu}^{RR})^{-1} (M_{\nu}^{Dirac}) = (\kappa P + \kappa' e^{-i\alpha_{\kappa'}} R)^T (\nu_R f)^{-1} (\kappa P + \kappa' e^{-i\alpha_{\kappa'}} R) \approx \frac{\nu_L}{\beta} P^T f^{-1} P$$

$$M_{\nu}^{II} = \nu_L e^{i\alpha_L} f$$

$$M_{\nu}^{eff} = M_{\nu}^{II} - M_{\nu}^I \approx (f e^{i\alpha_L}) - \frac{1}{\beta} P^T f^{-1} P \nu_L$$

CPV in quark sector  $\leftrightarrow$  CPV in lepton sector

- through phase  $\alpha_{\kappa'}$
- appear at sub-leading  $\mathcal{O}(\kappa'/\kappa)$
- weak connection

# Leptonic CPV Processes

- MNS matrix

$$U_{MNS} = \begin{pmatrix} c_{12}c_{13} & s_{12}c_{13} & s_{13}e^{-i\delta} \\ -s_{12}c_{23} - c_{12}s_{23}s_{13}e^{i\delta} & c_{12}c_{23} - s_{12}s_{23}s_{13}e^{i\delta} & s_{23}c_{13} \\ s_{12}s_{23} - c_{12}c_{23}s_{13}e^{i\delta} & -c_{12}s_{23} - s_{12}c_{23}s_{13}e^{i\delta} & c_{23}c_{13} \end{pmatrix} \begin{pmatrix} 1 \\ e^{i\alpha_{21}/2} \\ e^{i\alpha_{31}/2} \end{pmatrix}$$

- three low energy phases  $\delta, \alpha_{21}, \alpha_{31}$ : functions of  $\alpha_L$
- neutrino oscillations

$$P(\nu_\alpha \rightarrow \nu_\beta) = \delta_{\alpha\beta} - 4 \sum_{i>j} \text{Re}(U_{\alpha i} U_{\beta j} U_{\alpha j}^* U_{\beta i}^*) \sin^2(\Delta m_{ij}^2 \frac{L}{4E}) + 2 \sum_{i>j} J_{CP} \sin^2(\Delta m_{ij}^2 \frac{L}{4E})$$

$$J_{CP} = -\frac{\text{Im}(H_{12}H_{23}H_{31})}{\Delta m_{21}^2 \Delta m_{32}^2 \Delta m_{31}^2} \propto \sin \alpha_L, \quad H \equiv M_\nu^{eff} (M_\nu^{eff})^+$$

- neutrinoless double beta decay

$$\begin{aligned} \langle m_{ee} \rangle^2 &= m_1^2 |U_{e1}|^4 + m_2^2 |U_{e2}|^4 + m_3^2 |U_{e3}|^4 + 2m_1 m_2 |U_{e1}|^2 |U_{e2}|^2 \cos \alpha_{21} \\ &\quad + 2m_1 m_3 |U_{e1}|^2 |U_{e3}|^2 \cos \alpha_{31} + 2m_2 m_3 |U_{e2}|^2 |U_{e3}|^2 \cos(\alpha_{31} - \alpha_{21}) \end{aligned}$$

# Leptogenesis in LR Model

- two ways to generate lepton number asymmetry

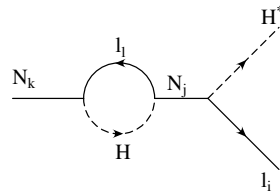
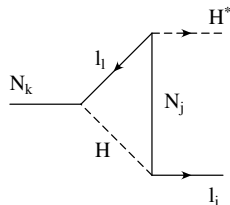
▶ decays of  $N_1$ :  $N_1 \rightarrow \ell + H^*$ ,  $\varepsilon = \frac{\Gamma(N_1 \rightarrow \ell + H^*) - \Gamma(N_1 \rightarrow \bar{\ell} + H)}{\Gamma(N_1 \rightarrow \ell + H^*) + \Gamma(N_1 \rightarrow \bar{\ell} + H)}$

▶ decays of  $\Delta_L$ :  $\Delta_L^* \rightarrow \ell + \ell$ ,  $\varepsilon = \frac{\Gamma(\Delta_L^* \rightarrow \ell + \ell) - \Gamma(\Delta_L \rightarrow \bar{\ell} + \bar{\ell})}{\Gamma(\Delta_L^* \rightarrow \ell + \ell) + \Gamma(\Delta_L \rightarrow \bar{\ell} + \bar{\ell})}$

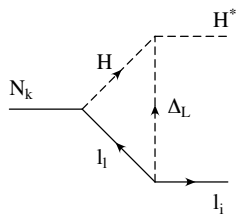
- naturally  $M_{\Delta_L} > M_1 \Rightarrow N_1$  decays dominate

- contributions to the asymmetry

$$M_D = O_R M_D$$



$$\varepsilon = \frac{3}{16\pi} \left( \frac{M_1}{v^2} \right) \frac{\text{Im}(M_D (M_v^I)^* M_D^T)_{11}}{(M_D M_D^+)_{11}} = 0$$



$$\varepsilon = \frac{3}{16\pi} \left( \frac{M_1}{v^2} \right) \frac{\text{Im}(M_D (M_v^{II})^* M_D^T)_{11}}{(M_D M_D^+)_{11}} \propto \sin \alpha_L$$

Independent of the choice of unitary transformation  $U_{L,R}$

# Leptogenesis in LR Model

- out-of-equilibrium condition

$$\frac{\Gamma}{H|_{T=M_1}} = \frac{M_{Pl}}{(1.7)(32\pi)\sqrt{g_*}v^2} \cdot \frac{(M_D M_D^+)_{11}}{M_1} \approx \frac{1}{(0.01eV)} \cdot \frac{(M_D M_D^+)_{11}}{M_1} < 1$$

➔  $M_1$  cannot be too light: typically requires  $M_1 > 2 \times 10^9$  GeV

➔ RH neutrino masses cannot be too hierarchical

$\Delta L \rightarrow \Delta B$ :

observed  $n_b/s \sim 10^{-10} \Rightarrow \epsilon \sim 10^{-8}$



# Specific Flavor Ansatz for Bi-large Mixing

$$M_\nu^{eff} = (f e^{i\alpha_L} - \frac{1}{\beta} P^T f^{-1} P) \nu_L$$

- assume Dirac neutrino mass matrix  $\sim$  up quark mass matrix

$$P \propto \begin{pmatrix} m_u/m_t & & \\ & m_c/m_t & \\ & & 1 \end{pmatrix}$$

- bi-large neutrino mixing can be accommodated with

$$f_{ij} = \begin{pmatrix} t^2 & t & -t \\ t & 1 & 1 \\ -t & 1 & 1 \end{pmatrix} \quad \frac{1}{\beta} P^T f^{-1} P = s \begin{pmatrix} 0 & \frac{1}{t} \frac{m_u m_c}{m_t^2} & -\frac{1}{t} \frac{m_u}{m_t} \\ \frac{1}{t} \frac{m_u m_c}{m_t^2} & 0 & \frac{m_c}{m_t} \\ -\frac{1}{t} \frac{m_u}{m_t} & \frac{m_c}{m_t} & 0 \end{pmatrix}$$

- may arise from U(1) horizontal symmetry
- deviation from maximal atmospheric mixing negligible
- Leptonic CPV:

$$J_{CP} = -\frac{2st^2(1-t^2)\nu_L^6}{\Delta m_{21}^2 \Delta m_{31}^2 \Delta m_{32}^2} \left( \frac{m_u}{m_t} \right) \sin \alpha_L$$

# Results

- 3 model parameters:  $t$ ,  $s$ ,  $\alpha_L$
- experimental values for oscillation parameters

*post - Neutrino2004 : (1 $\sigma$ )*

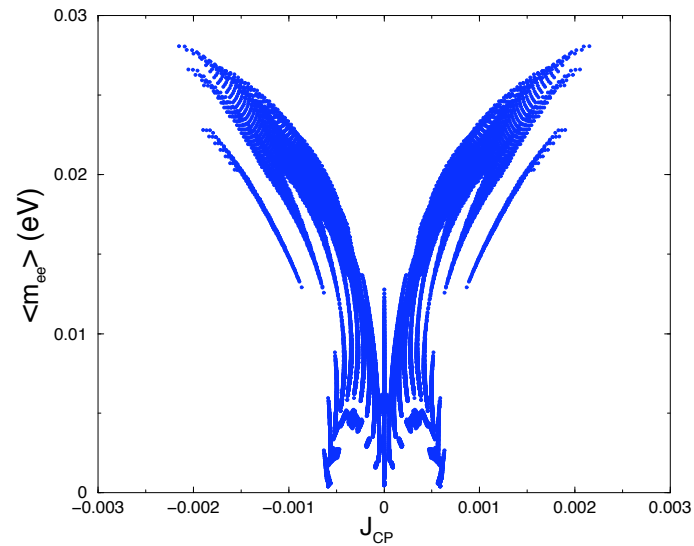
$$\Delta m_{atm}^2 = (1.9 - 3.0) \times 10^{-3} eV^2,$$

$$\Delta m_{sol}^2 = (7.9 - 8.5) \times 10^{-5} eV^2$$

$$\sin^2 2\theta_{atm} > 0.9$$

$$\tan^2 \theta_{sol} = (0.35 - 0.44)$$

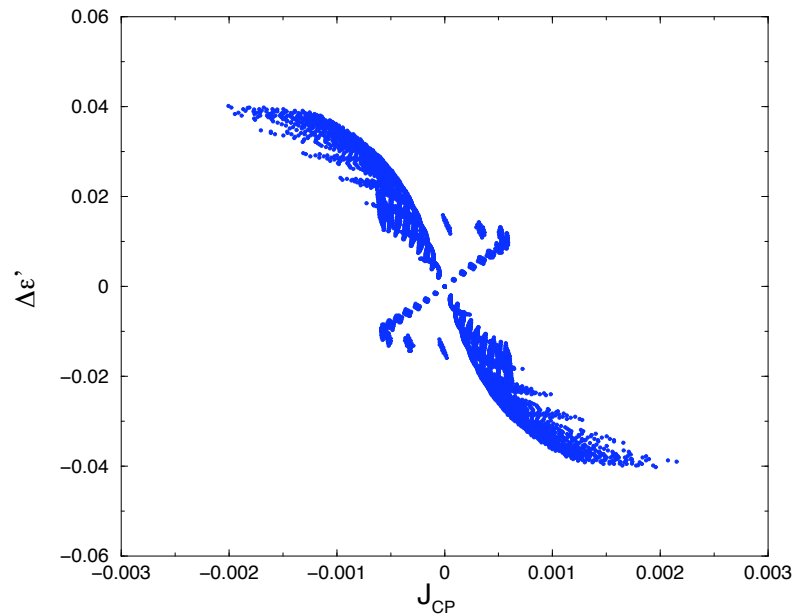
# Results



- predict small  $\theta_{13}$
- in large  $J_{CP}$  regime:  
    strong correlation between  $J_{CP}$  and  $\langle m_{ee} \rangle$
- $J_{CP}$ :  $(0 - 10^{-3})$
- $\langle m_{ee} \rangle$ :  $(10^{-4} \sim 10^{-2})$  eV; current limit  $\sim 0.1$  eV

M.-C.C & Mahanthappa, Phys. Rev. D71, 035001 (2005)

# Results



observed BAU  $\Rightarrow J_{CP} \sim 10^{-5}$

- symmetry between 2nd & 4th quadrants
- in large  $J_{CP}$  regime:  
strong correlation between  $J_{CP}$  and  $\Delta\epsilon'$
- total amount of lepton number asymmetry

$$\epsilon = 10^{-2} \times \Delta\epsilon' < (10^{-4} - 10^{-5})$$

- no wash-out

$$\frac{\Gamma}{H \downarrow_{T=M_1}} \approx \frac{1}{(0.01eV)} \cdot \frac{(M_D M_D^+)_{11}}{M_1} < 1$$

$$\frac{(M_D M_D^+)_{11}}{M_1} \sim \left(\frac{m_c}{m_t}\right)^2 v_L = 10^{-7} eV!!!$$

$$v_R \sim (10^{12-13}) GeV, \quad M_1 \sim 0.1 v_R$$

## Flavor Ansatz II

- assume Dirac neutrino mass matrix  $\sim$  up quark mass matrix

$$P \propto \begin{pmatrix} m_u/m_t & & \\ & m_c/m_t & \\ & & 1 \end{pmatrix}$$

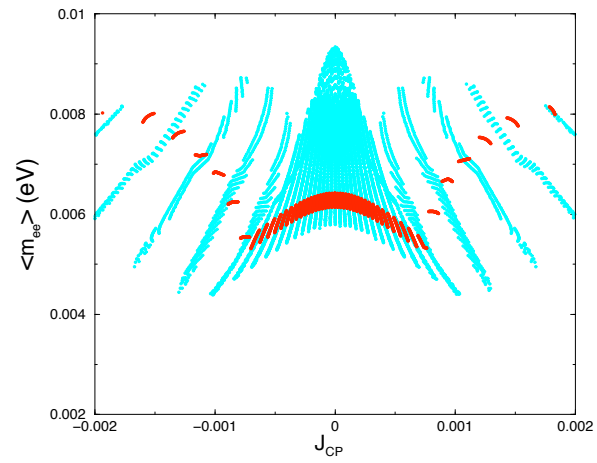
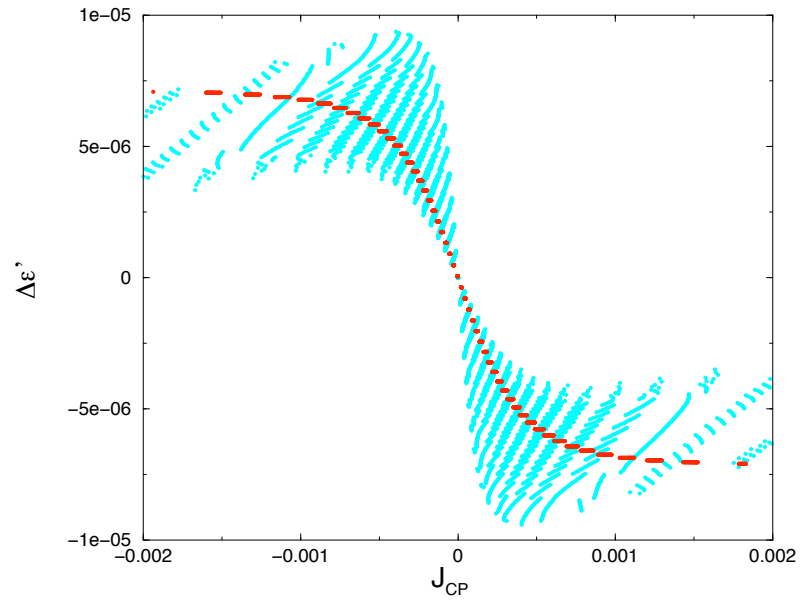
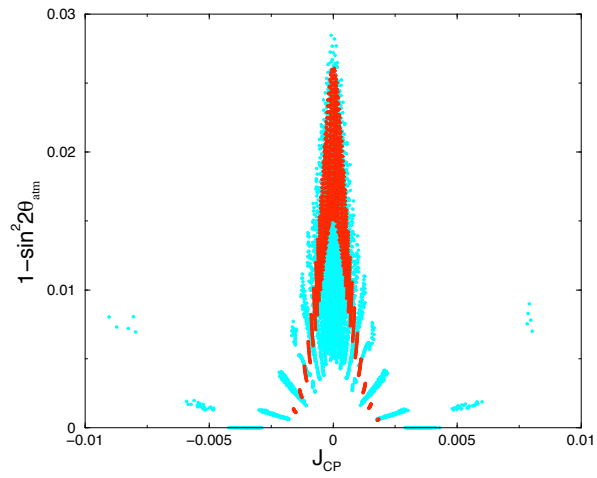
- bi-large neutrino mixing can be accommodated with

$$f_{ij} = \begin{pmatrix} A & B & -B \\ B & D + \frac{A}{2} & D - \frac{A}{2} \\ -B & D - \frac{A}{2} & D + \frac{A}{2} \end{pmatrix} \quad A = \frac{1}{2}(f_1^0 + f_2^0), \quad B = \frac{1}{2\sqrt{2}}(f_2^0 - f_1^0), \quad D = \frac{1}{2}f_3^0$$

$$P^T f^{-1} P \sim \begin{pmatrix} & & \\ & & \\ & & x \end{pmatrix}, \quad x = s \left( \frac{1}{f_1^0} + \frac{1}{f_2^0} + \frac{1}{f_3^0} \right) \quad M_\nu^{eff} = (f e^{i\alpha_L} - \frac{1}{\beta} P^T f^{-1} P) \nu_L$$

- may arise from  $(L_e - L_\mu - L_\tau)$  horizontal symmetry
- inverted hierarchy
- deviation from maximal atmospheric mixing sizable
- Leptonic CPV: 
$$J_{CP} = - \frac{(f_2^0 - f_1^0)^3 (f_3^0 - f_2^0)(f_3^0 - f_1^0) \nu_L^6}{\Delta m_{21}^2 \Delta m_{31}^2 \Delta m_{32}^2} x \sin \alpha_L$$

# Results



# Scalar Potential

- **scalar potential**  $V = V_\Phi + V_\Delta + V_{\Phi\Delta}$

$$\begin{aligned}
 V_\Phi &= -\mu^2 \text{Tr}(\Phi^\dagger \Phi) - \mu_2^2 [\text{Tr}(\tilde{\Phi} \Phi^\dagger) + \text{Tr}(\tilde{\Phi}^\dagger \Phi)] \\
 &\quad + \lambda_1 [\text{Tr}(\Phi \Phi^\dagger)]^2 + \lambda_2 \left[ [\text{Tr}(\tilde{\Phi} \Phi^\dagger)]^2 + \text{Tr}(\tilde{\Phi}^\dagger \Phi)^2 \right] \\
 &\quad + \lambda_3 [\text{Tr}(\tilde{\Phi} \Phi^\dagger) \text{Tr}(\tilde{\Phi}^\dagger \Phi)] + \lambda_4 \left[ \text{Tr}(\Phi \Phi^\dagger) [\text{Tr}(\tilde{\Phi} \Phi^\dagger) + \text{Tr}(\tilde{\Phi}^\dagger \Phi)] \right] \\
 V_\Delta &= -\mu_3^2 [\text{Tr}(\Delta_L \Delta_L^\dagger) + \text{Tr}(\Delta_R \Delta_R^\dagger)] + \rho_1 \left[ [\text{Tr}(\Delta_L \Delta_L^\dagger)]^2 + [\text{Tr}(\Delta_R \Delta_R^\dagger)]^2 \right] \\
 &\quad + \rho_2 \left[ \text{Tr}(\Delta_L \Delta_L) \text{Tr}(\Delta_L^\dagger \Delta_L^\dagger) + \text{Tr}(\Delta_R \Delta_R) \text{Tr}(\Delta_R^\dagger \Delta_R^\dagger) \right] \\
 &\quad + \rho_3 [\text{Tr}(\Delta_L \Delta_L^\dagger) \text{Tr}(\Delta_R \Delta_R^\dagger)] + \rho_4 \left[ \text{Tr}(\Delta_L \Delta_L) \text{Tr}(\Delta_R^\dagger \Delta_R^\dagger) + \text{Tr}(\Delta_L^\dagger \Delta_L^\dagger) \text{Tr}(\Delta_R \Delta_R) \right] \\
 V_{\Phi\Delta} &= \alpha_1 \left[ \text{Tr}(\Phi \Phi^\dagger) [\text{Tr}(\Delta_L \Delta_L^\dagger) + \text{Tr}(\Delta_R \Delta_R^\dagger)] \right] \\
 &\quad + \alpha_2 [\text{Tr}(\Phi \tilde{\Phi}^\dagger) \text{Tr}(\Delta_R \Delta_R^\dagger) + \text{Tr}(\Phi^\dagger \tilde{\Phi}) \text{Tr}(\Delta_L \Delta_L^\dagger)] \\
 &\quad + \alpha_2^* [\text{Tr}(\Phi^\dagger \tilde{\Phi}) \text{Tr}(\Delta_R \Delta_R^\dagger) + \text{Tr}(\tilde{\Phi}^\dagger \Phi) \text{Tr}(\Delta_L \Delta_L^\dagger)] \\
 &\quad + \alpha_3 [\text{Tr}(\Phi \Phi^\dagger \Delta_L \Delta_L^\dagger) + \text{Tr}(\Phi^\dagger \Phi \Delta_R \Delta_R^\dagger)] + \beta_1 [\text{Tr}(\Phi \Delta_R \Phi^\dagger \Delta_L^\dagger) + \text{Tr}(\Phi^\dagger \Delta_L \Phi \Delta_R^\dagger)] \\
 &\quad + \beta_2 [\text{Tr}(\tilde{\Phi} \Delta_R \Phi^\dagger \Delta_L^\dagger) + \text{Tr}(\tilde{\Phi}^\dagger \Delta_L \Phi \Delta_R^\dagger)] + \beta_3 [\text{Tr}(\Phi \Delta_R \tilde{\Phi}^\dagger \Delta_L^\dagger) + \text{Tr}(\Phi^\dagger \Delta_L \tilde{\Phi} \Delta_R^\dagger)]
 \end{aligned}$$

# Scalar Potential

- minimization conditions

$$\begin{aligned}(2\rho_1 - \rho_3)v_R v_L &= \beta_1 \kappa \kappa' \cos(\alpha_L - \alpha_{\kappa'}) + \beta_2 \kappa^2 \cos \alpha_L + \beta_3 \kappa'^2 \cos(\alpha_L - 2\alpha_{\kappa'}) , \\ 0 &= \beta_1 \kappa \kappa' \sin(\alpha_L - \alpha_{\kappa'}) + \beta_2 \kappa^2 \sin \alpha_L + \beta_3 \kappa'^2 \sin(\alpha_L - 2\alpha_{\kappa'}) \\ 0 &= v_R v_L \left[ 2\kappa \kappa' (\beta_2 + \beta_3) \sin(\alpha_L - \alpha_{\kappa'}) + \beta_1 [\kappa^2 \sin \alpha_L + \kappa'^2 \sin(\alpha_L - 2\alpha_{\kappa'})] \right] \\ &\quad + \kappa \kappa' \sin \alpha_{\kappa'} [\alpha_3 (v_L^2 + v_R^2) + (4\lambda_3 - 8\lambda_2)(\kappa^2 - \kappa'^2)]\end{aligned}$$

- CP phase in quark sector: 3rd condition

$$\sin \alpha_{\kappa'} \sim (\beta/\alpha_3)(v_L/v_R)$$

⇒ suppressed by high  $U_R$

- CP phase in lepton sector:  $\alpha_L$

⇒ tuning of  $O(\kappa'/\kappa) \sim (m_b/m_t) \sim 0.01$  (from FCNC constraints),

$\alpha_L \sim O(1)$  can be generated



# Low Seesaw Scale

M.-C.C & Mahanthappa,  
Phys. Rev. D75, 015001 (2007)

- with an addition  $U(1)_s$  symmetry:  $SU(2)_R$  breaking scale can be significantly lower
- $U(1)_s$  broken by  $\langle S \rangle$  ;  $Q(S) = +1$
- $U(1)_s$  forbids dim-4 operators
- mass terms arise only through operators with higher dimensionality

$$\omega = \frac{\langle s \rangle}{M_S} \ll 1$$

$$M_{\nu D} = P_{ij} \kappa \omega^{|Q(L_L) - Q(L_R) - Q(\Phi)|} + R_{ij} \kappa' e^{-i\alpha_{\kappa'}} \omega^{|Q(L_L) - Q(L_R) + Q(\Phi)|}$$

$$M_e = P_{ij} \kappa' e^{i\alpha_{\kappa'}} \omega^{|Q(L_L) - Q(L_R) - Q(\Phi)|} + R_{ij} \kappa \omega^{|Q(L_L) - Q(L_R) + Q(\Phi)|}$$

$$M_{\nu}^{RR} = f_{ij} v_R \omega^{|Q(\Delta_R) + 2Q(L_R)|}, \quad M_{\nu}^{LL} = f_{ij} v_L e^{i\alpha_L} \omega^{|Q(\Delta_L) + 2Q(L_L)|}$$

# Low Seesaw Scale

M.-C.C & Mahanthappa,  
Phys. Rev. D75, 015001 (2007)

- induced triplet VEV

$$v_L \simeq \beta \frac{\kappa^2}{v_R},$$

$$\beta \sim \beta' \cdot \text{Max}\{\omega^{|Q(\Delta_R)-Q(\Delta_L)-2Q(\Phi)|}, r\omega^{|Q(\Delta_R)-Q(\Delta_L)|}, r^2\omega^{|Q(\Delta_R)-Q(\Delta_L)+2Q(\Phi)|}\},$$

- U(1) charge assignment consistent with LR symmetry:

$$Q(\Phi) = -Q(\tilde{\Phi}) = 2, \quad Q(\Delta_L) = -Q(\Delta_R) = 4, \quad Q(L_L) = -Q(L_R) = -2,$$

$$v_L v_R \simeq \beta' \kappa^2 \omega^8,$$

$$M_e \simeq R_{ij} \kappa \omega^2 + \mathcal{O}(\omega^6),$$

$$M_{\nu D} \simeq R_{ij} \kappa e^{-i\alpha_{\kappa'}} \omega^4 + \mathcal{O}(\omega^6),$$

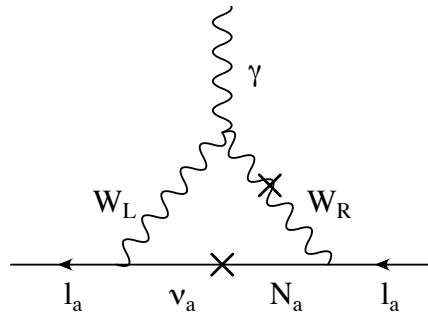
$$M_{\nu}^{LL} = f_{ij} v_L e^{i\alpha_L}, \quad M_{\nu}^{RR} = f_{ij} v_R$$

$$\begin{aligned} M_{\nu}^{eff} &= M_{\nu}^{LL} - M_{\nu D} (M_{\nu}^{RR})^{-1} M_{\nu D}^T \\ &= v_L [f_{ij} e^{i\alpha_L} - s R_{ij} f_{ij}^{-1} R_{ij}^T e^{-2i\alpha_{\kappa'}}] \end{aligned}$$

with  $\omega \sim 0.1$ ,  $U_L \sim (0.01-0.1) \text{ eV} \Rightarrow U_R \sim 10^6 \text{ GeV}$

'quark' phase  $\alpha_{\kappa'}$  now affect leptonic CPV at dominant order

# Electron EDM



mixing between  
 $W_L$  and  $W_R$

$$d_e \simeq -\frac{e\alpha}{4\pi M_W^2} \frac{\kappa\kappa'}{v_R^2 - v_L^2} \text{Im}(e^{-i\alpha_{\kappa'}} M_D)_{ee}$$

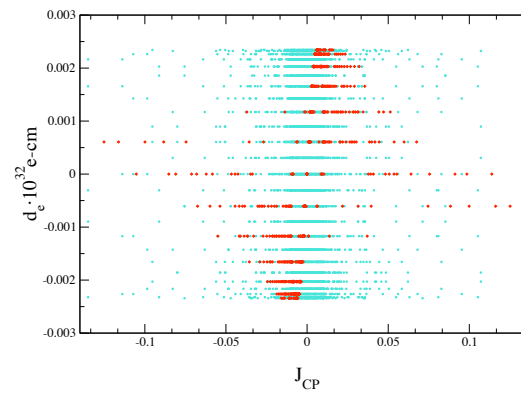
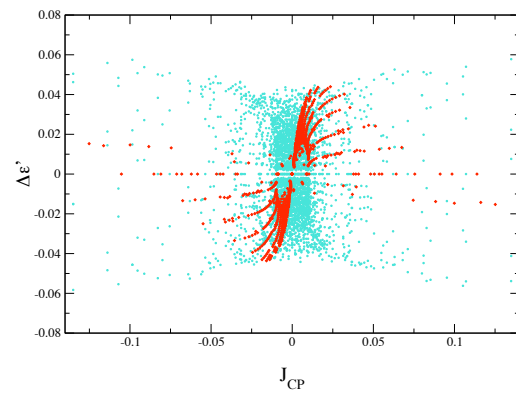
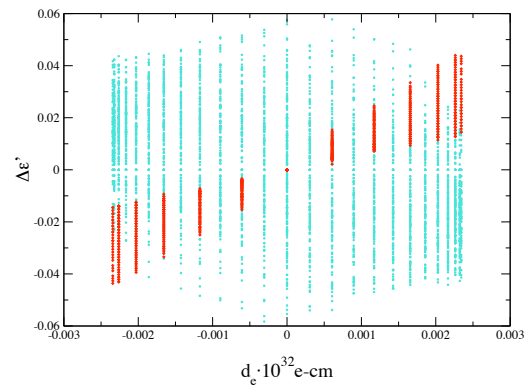
$$\simeq 10^{-19} \times r \left(\frac{\text{GeV}}{v_R}\right)^2 \left(\frac{|(M_{\nu D})_{ee}|}{\text{MeV}}\right) \sin(2\alpha_{\kappa'}) \text{ e-cm}$$

$v_R \sim 10^6 \text{ GeV} \Rightarrow d_e \sim 10^{-32} \text{ e-cm}$  can be obtained  
(within reach of next generation of experiments)  
current limit:  $d_e < 1.67 \times 10^{-27} \text{ e-cm}$  at 90% CF

# Results

$$J_{CP} \propto \sin(\alpha_L + \alpha_{\kappa'})$$

$$\epsilon^{\Delta_L} \propto M_{R_1} \sin(\alpha_L + 2\alpha_{\kappa'})$$



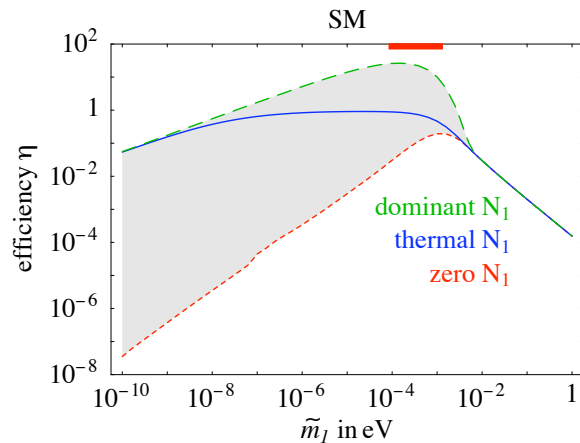
# Results

$$\epsilon = \epsilon^{\Delta L} \sim 10^{-8} \Delta\epsilon' \sim O(10^{-9})$$

$$n_b/s = (0.87 \pm 0.04) \times 10^{-10},$$

$$\frac{n_b}{s} \simeq -\frac{24 + 4n_H}{66 + 13n_H} \epsilon \eta Y_{N_1}^{\text{eq}}(T \gg M_1), \quad n_b/s \simeq -1.38 \times 10^{-3} \epsilon \eta.$$

sufficient BAU can be obtained  
with efficiency factor 10-100



Giudice, Notari, Raidal, Riotto & Strumia,  
Nucl. Phys. B685, 89 (2004)

# TeV Scale Seesaw with $U(1)_{NA}$

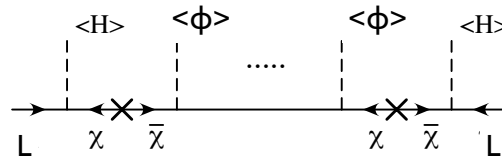
M.-C.C. de Gouvea, Dobrescu  
Phys. Rev. D75, 055009 (2007)

- SM  $\times U(1)_{NA} + N \nu_R$
- $U(1)_{NA}$  broken by  $\langle \phi \rangle$
- forbid usual dim-4 (Dirac) & dim-5 (HHLL) operators for neutrino mass

$$m_{LL} \sim \frac{HHLL}{M} \rightarrow M \sim 10^{14} \text{ GeV}$$

- neutrino masses generated by operators with high dimensionality

$$m_{LL} \sim \left( \frac{\langle \phi \rangle}{M} \right)^p \frac{HHLL}{M} \rightarrow M \sim \text{TeV}, \text{ for large } p \quad \frac{\langle \phi \rangle}{M} \sim \text{not too small}$$



- charges of different fermions related through anomaly cancellation conditions  $\Rightarrow$  predict flavor mixing

$$[SU(3)_c]^2 U(1)_\nu$$

$$U(1)_Y [U(1)_\nu]^2$$

$$[SU(2)_L]^2 U(1)_\nu$$

$$U(1)_\nu \text{ gauge-gravitational anomaly}$$

$$[U(1)_Y]^2 U(1)_\nu$$

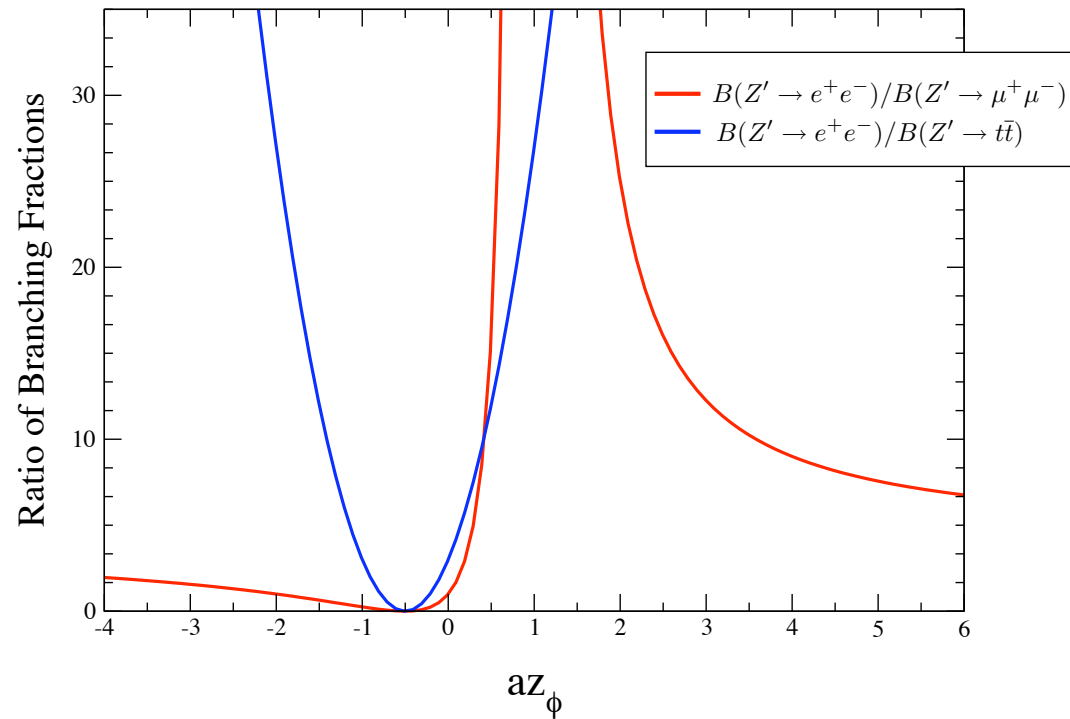
$$[U(1)_\nu]^3 \Rightarrow \text{cubic equation}$$

## “Leptocratic” Model

- with  $N=3$  RH neutrinos, rational solutions for charges
- UV cutoff is at  $\sim$  a TeV
- quark charges flavor blind; lepton charges flavor dependent
- neutrinos can either be Dirac (only Dirac masses are allowed) or Majorana (Dirac, LH Majorana and RH Majorana masses are all allowed)
- bi-large mixing pattern can arise
- exist light quasi-sterile neutrinos, might be relevant for cosmology

# TeV Scale Seesaw with $U(1)_{NA}$

- through couplings to  $Z'$ : can probe neutrino sector at colliders  
generation dependent lepton charges





## Other Attempts

- SM + D<sup>0</sup> (vectorial quark) + S (singlet scalar) Branco, Parada, Rebelo (2003)

$$\langle \phi^0 \rangle = \frac{v}{\sqrt{2}}, \quad \langle S \rangle = \frac{V \exp(i\alpha)}{\sqrt{2}}$$

$$(f_q S + f_q' S^*) \overline{D}_L^0 d_R^0 + \tilde{M} \overline{D}_L^0 D_R^0 \quad \rightarrow \text{quark CPV}$$

$$\frac{1}{2} \nu_R^{0T} C (f_\nu S + f_\nu' S^*) \nu_R^0 \quad \rightarrow \text{leptonic CPV}$$

- SCPV in SO(10): Achiman (2004)

$$\langle 126 \rangle \text{ complex: break (B-L)} \quad \overline{\Delta} = \langle \overline{\Sigma}(1, 1, 0) \rangle = \frac{\sigma}{\sqrt{2}} e^{i\alpha}$$

$$Y_\ell^{ij} \nu_R^i \overline{\Delta} \nu_R^j$$

- no symmetry reason why  $\langle S \rangle$  is the only complex VEV

# Quantum Boltzmann Equations

Buchmuller, Fredenhagen, 2000; Simone, Riotto 2007; Lindner, Muller 2007

- Classical vs Quantum Boltzmann equations:
  - ▶ collision terms: involving quantum interference
  - ▶ time evolution: quantum mechanical treatment
- Classical Boltzmann equations:
  - scattering independent from previous one

$$\frac{\partial n_{N_1}}{\partial t} = - \langle \Gamma_{N_1} \rangle (n_{N_1} - n_{N_1}^{\text{eq}}),$$

$$\langle \Gamma_{N_1} \rangle = \int \frac{d^3 \mathbf{k}}{(2\pi)^3} \frac{f_{N_1}^{\text{eq}}}{n_{N_1}^{\text{eq}}} \int \frac{d^3 \mathbf{p}}{(2\pi)^3} \frac{|\mathcal{M}(N_1 \rightarrow \ell H)|^2}{2\omega_\ell 2\omega_H \omega_{N_1}} (2\pi) \delta(\omega_{N_1} - \omega_\ell - \omega_H)$$

- Quantum Boltzmann equations:
  - ▶ Closed-Time-Path (CTP) formulation for non-equilibrium QFT
  - ▶ involve time integration for scattering terms
  - ➔ “memory effects”: time-dependent CP asymmetry

Schwinger, 1961; Mahanthappa, 1962; Bakshi, Mahanthappa, 1963; Keldysh, 1965

$$\frac{\partial n_{N_1}}{\partial t} = - \langle \Gamma_{N_1}(t) \rangle n_{N_1} + \langle \tilde{\Gamma}_{N_1}(t) \rangle n_{N_1}^{\text{eq}},$$

$$\langle \Gamma_{N_1}(t) \rangle = \int_0^t dt_z \int \frac{d^3 \mathbf{k}}{(2\pi)^3} \frac{f_{N_1}^{\text{eq}}}{n_{N_1}^{\text{eq}}} \Gamma_{N_1}(t),$$

$$\Gamma_{N_1}(t) = 2 \int \frac{d^3 \mathbf{p}}{(2\pi)^3} \frac{|\mathcal{M}(N_1 \rightarrow \ell H)|^2}{2\omega_\ell 2\omega_H \omega_{N_1}} \cos[(\omega_{N_1} - \omega_\ell - \omega_H)(t - t_z)]$$

# Quantum Boltzmann Equations

- time scale of Kernel  $\ll$  relaxation time scale  $\sim 1/\Gamma_{N1}$

Classical Boltzmann eqs  $\approx$  Quantum Boltzmann eqs

- In resonant leptogenesis:  $\Delta M = (M_2 - M_1) \sim \Gamma_{N2}$

Kernel time scale  $\sim 1/\Delta M > 1/\Gamma_{N1}$  possible

$\Rightarrow$  quantum Boltzmann eqs important!!

# Conclusions

- in minimal LR model: 2 intrinsic phases to account for all CPV in Nature
- LR parity: LH & RH Majorana mass terms proportional
- pronounced correlation between leptogenesis and low energy leptonic CPV, **even without flavor effects**
- CPV in quark sector may also be connected to CPV in lepton sector, if seesaw scale is low; such low seesaw scale can be obtained with an additional U(1) symmetry
- Quantum Boltzmann equations?