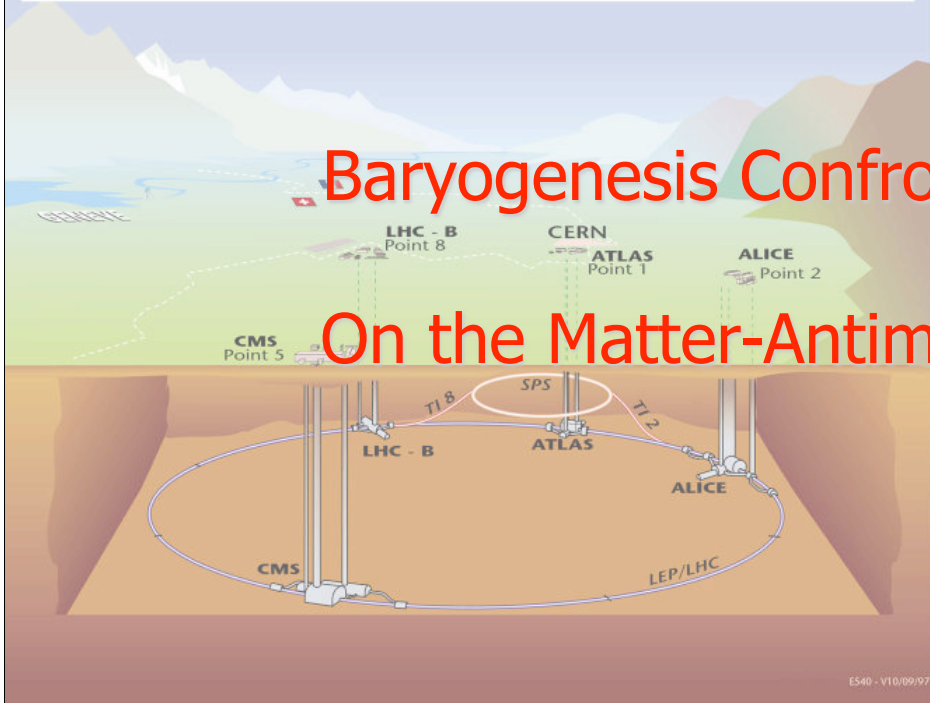
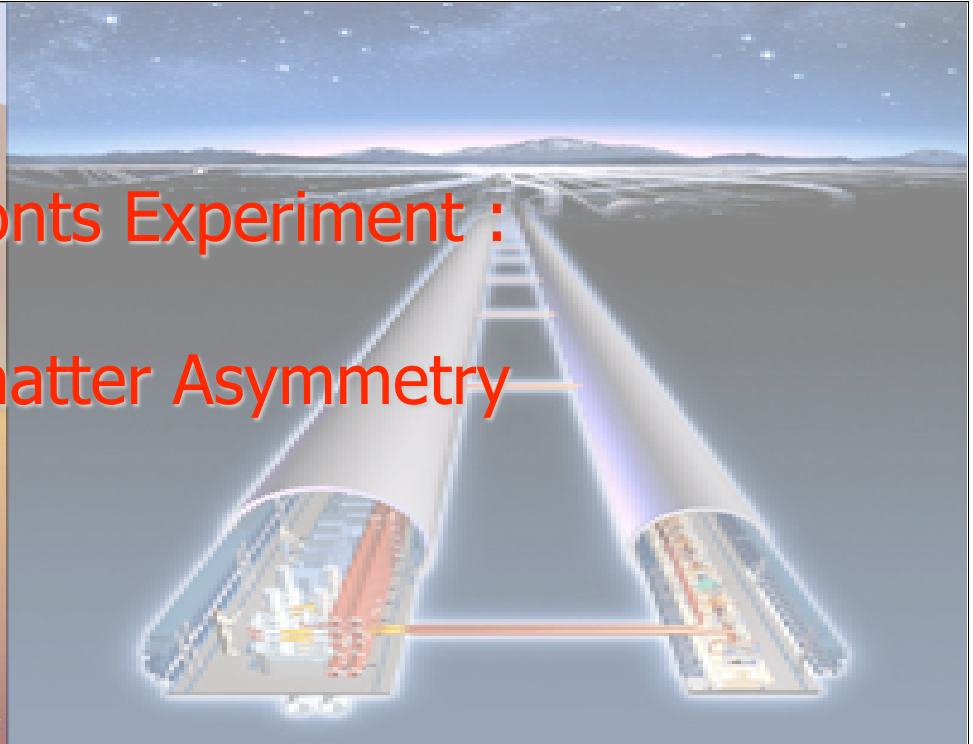


Overall view of the LHC experiments.



# Baryogenesis Confronts Experiment : On the Matter-Antimatter Asymmetry

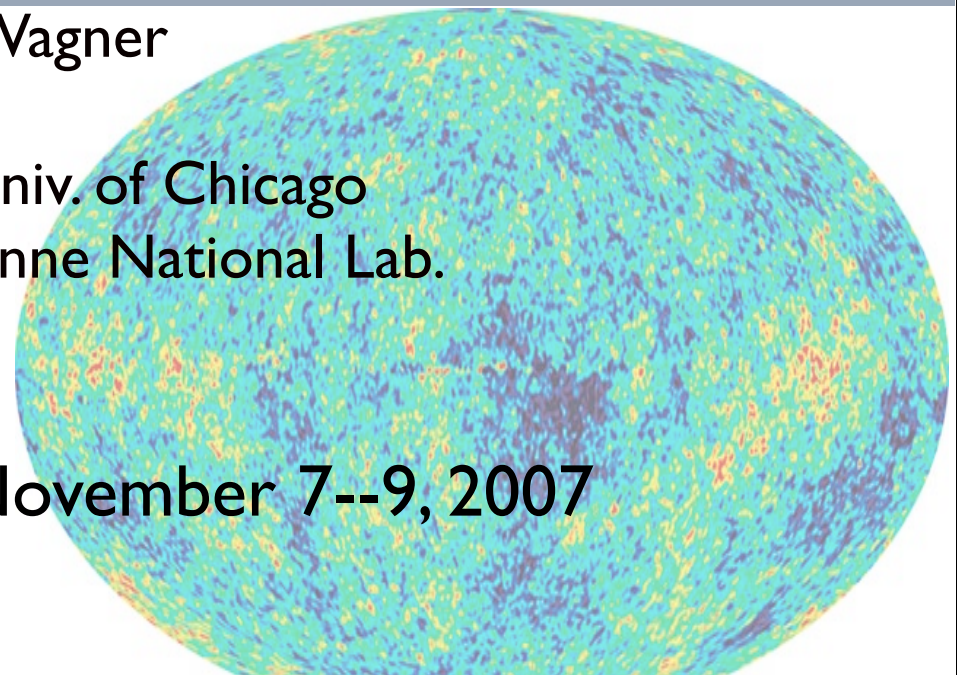


Chicago C.E.M. Wagner






KICP and EFI, Univ. of Chicago  
HEP Division, Argonne National Lab.

KICP Workshop, November 7--9, 2007



# High Energy Physics and Cosmology Today

-  There has been impressive progress in both particle physics and cosmology in the last 30 years
-  Laboratory and satellite based experiments complemented with theories of the dynamics of the cosmos and the micro-cosmos have led to what are today known as **Standard Models** in both fields
-  All experimental observations are consistent with the predictions of these models

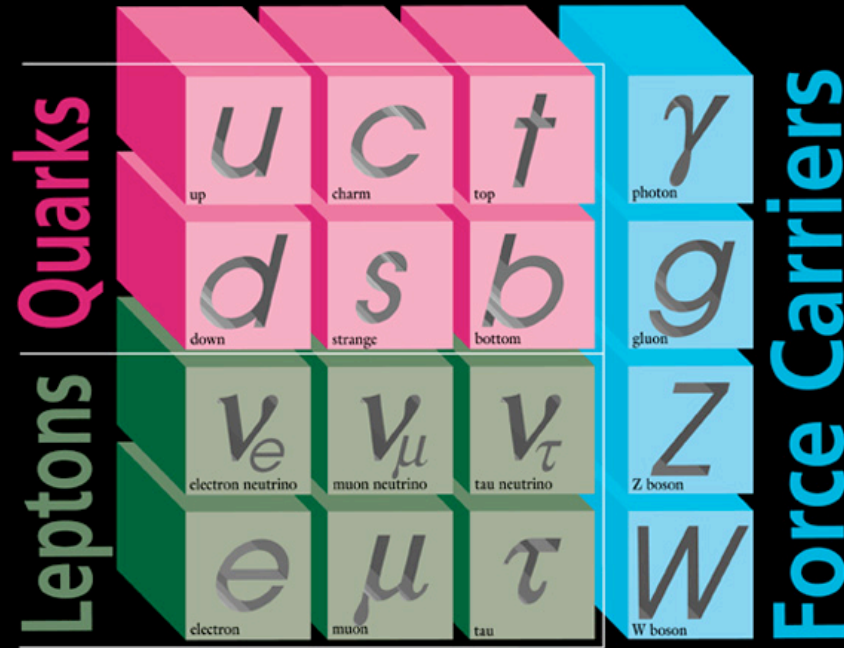
# Standard Model of Particle Physics

- Gauge Theory Based on the group

$$SU(3)_C \times SU(2)_L \times U(1)_Y$$

- All particle interactions of the three families of quarks, charged leptons and neutrinos well described by the Standard Model (SM)
- Excellent description of all experimental observables
- Includes heavy particles, like the top quark and the weak gauge bosons, as well as the almost massless neutrinos.

# ELEMENTARY PARTICLES



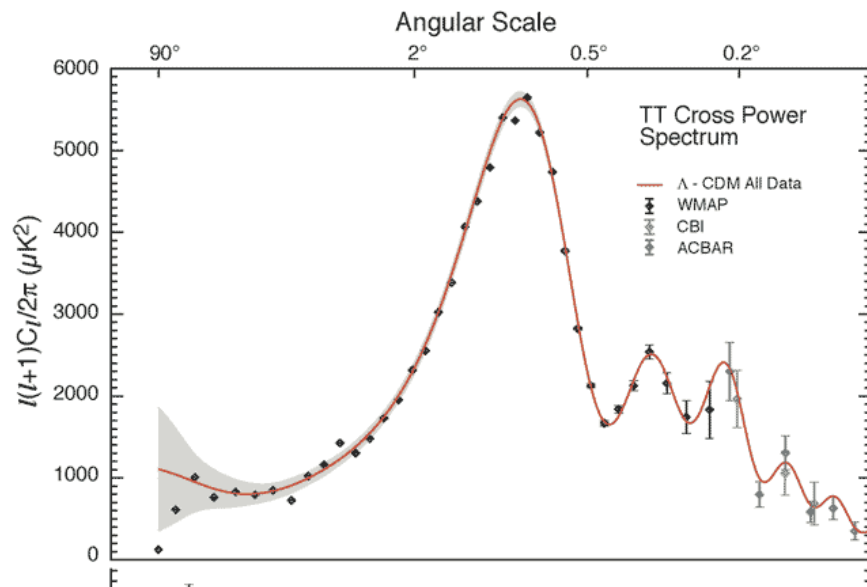
I II III  
Three Generations of Matter

# Standard Cosmological Model

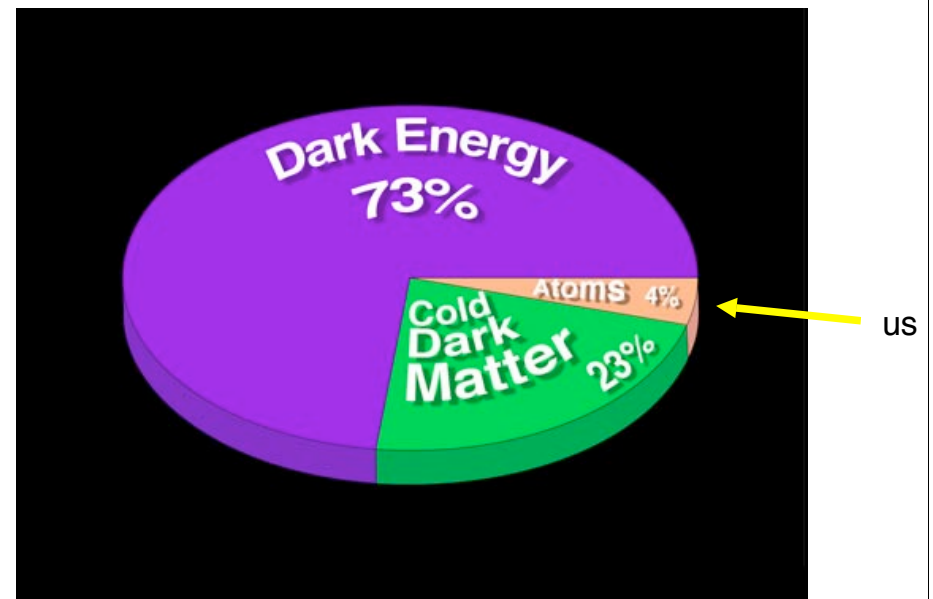
Universe density  $\Omega_0 = 1.02 \pm 0.02$   
Dark energy density  $\Omega_\Lambda = 0.73 \pm 0.04$   
Total matter density  $\Omega_M = 0.27 \pm 0.05$   
Baryon matter density  $\Omega_b = 0.044 \pm 0.004$



Dark matter is non-baryonic



Our Universe:



# Open Questions in Particle Physics and Cosmology

- Origin of Mass of fundamental particles
- Nature of Dark Matter
- Source of Dark Energy
- Quantum Gravity and the Unification of Forces

# Missing Question

- The four percent of ordinary matter present in the Universe does not seem to introduce any challenge to our understanding of the Universe
- This naive impression is wrong. Two very puzzling questions remain:
- Why is anti-matter absent in the observable Universe ?
- What explains the smallness of the baryon number density when compared to photons or neutrinos ?

## The Puzzle of the Matter-Antimatter asymmetry

- Anti-matter is governed by the same interactions as matter.
- Observable Universe is composed of matter.
- Anti-matter is only seen in cosmic rays and particle physics accelerators
- The rate observed in cosmic rays consistent with secondary emission of antiprotons

$$\frac{n_{\bar{p}}}{n_p} \approx 10^{-4}$$



# Baryons and Leptons

- Ordinary matter is composed of baryons (protons and neutrons) and leptons (electrons and neutrinos)
- One can associate an experimentally conserved number to baryons and leptons. Baryons and leptons carry one unit of these numbers, and antibaryons and anti-leptons carry a negative unit.
- The predominance of matter over antimatter is equivalent to the existence of a net baryon number
- To estimate their number, baryons should be treated as stable thermal relics of the Big Bang

# Evolution of Thermal Relic Density

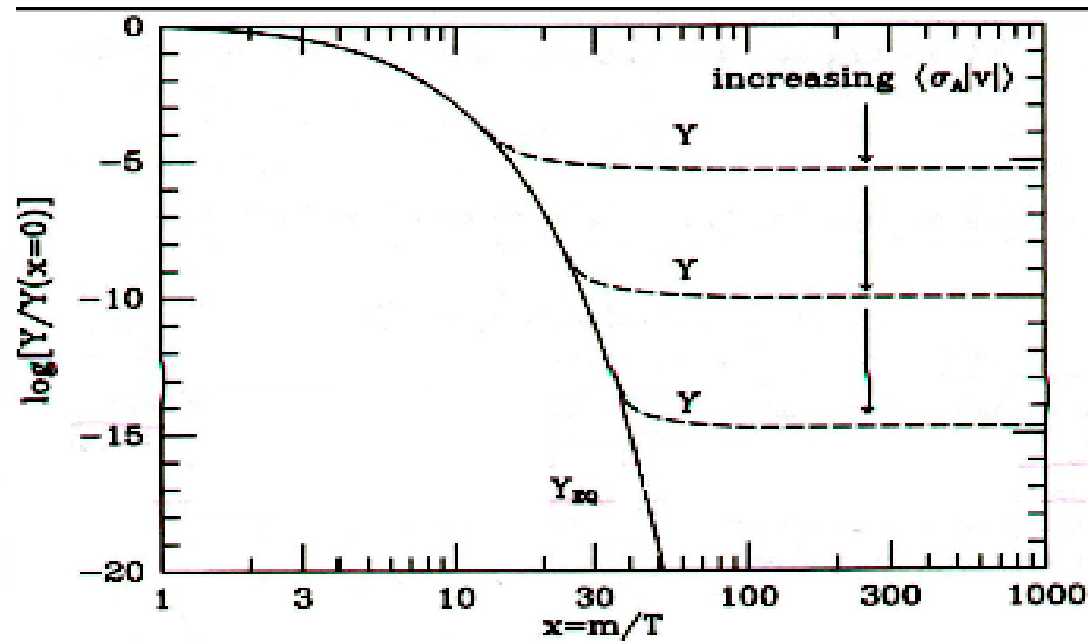
$$\frac{dn}{dt} = -3Hn - \langle \sigma_{\text{eff}} v \rangle (n^2 - n_{\text{eq}}^2), \quad n_{\text{eq}} \approx \exp(-m/T)$$

$$\langle \sigma_{\text{eff}} v \rangle$$

Thermal average of (co-)annihilation cross section

$$Y = \frac{n}{s}$$

$$s \approx g_* T^3$$



At present  $s \simeq 7 n_\gamma$

# Numerical Estimate

- Baryons annihilate with antibaryons via strong interactions mediated by pions
- This is a very efficient annihilation channel and the equilibrium density is

$$\frac{n_{\bar{B}}}{n_{\gamma}} = \frac{n_B}{n_{\gamma}} \simeq 10^{-20}$$

- The first problem is the equality of baryon and antibaryon number density. Even obviating this problem, how does this compare to experiment ?

# Baryon Abundance

- Information on the baryon abundance comes from two main sources:
- Abundance of primordial elements. When combined with Big Bang Nucleosynthesis tell us

$$\eta = \frac{n_B}{n_\gamma}, \quad n_\gamma = \frac{421}{\text{cm}^3}$$

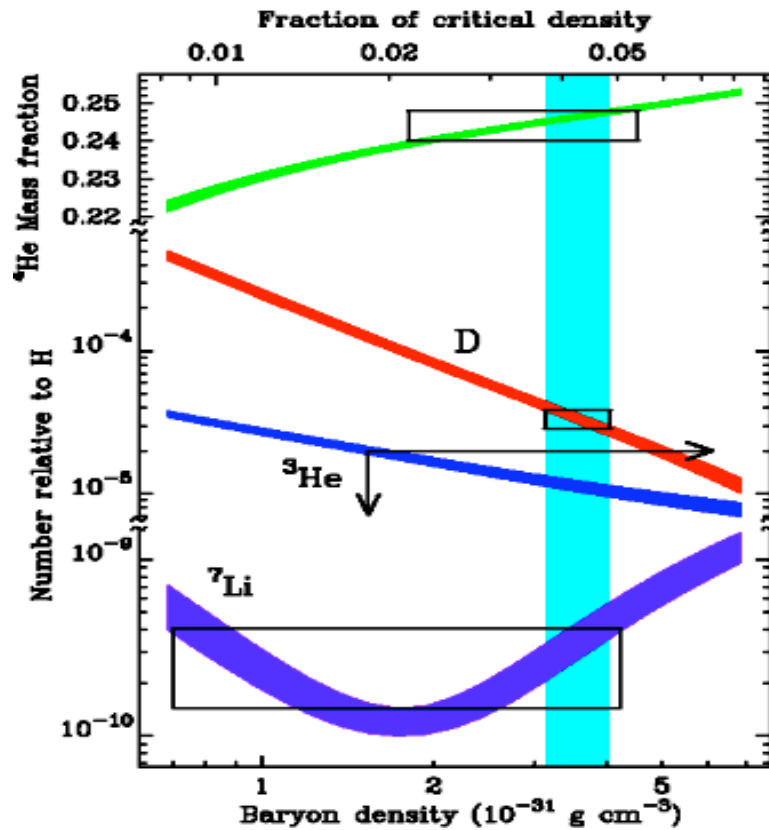
- CMBR, tell us ratio

$$\frac{\rho_B}{\rho_c} \equiv \Omega_B, \quad \rho_c \approx 10^{-5} h^2 \frac{\text{GeV}}{\text{cm}^3}$$

- There is a simple relation between these two quantities

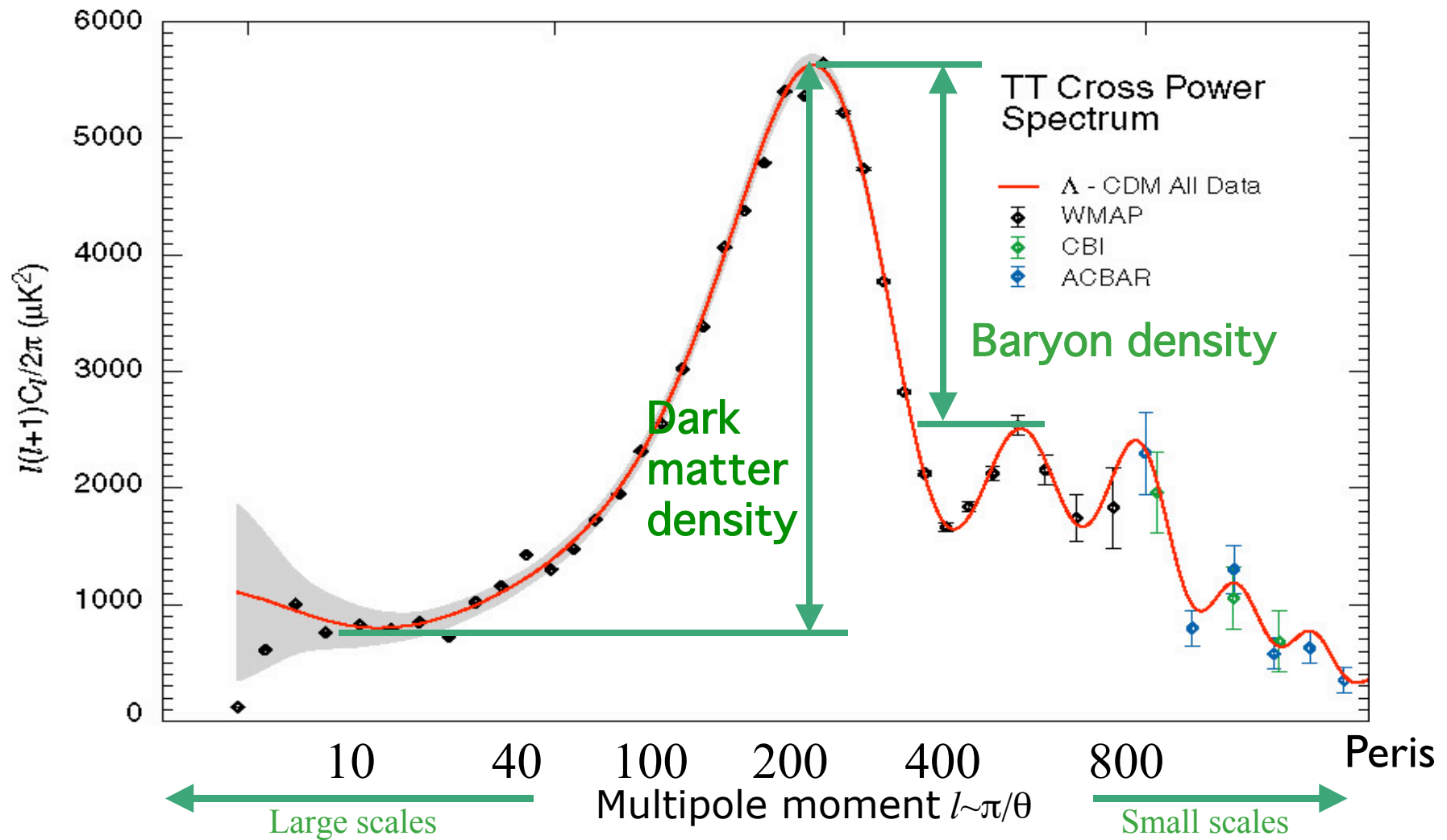
$$\eta = 2.68 \cdot 10^{-8} \Omega_B h^2$$

# Element Abundance and Big-Bang Nucleosynthesis predictions



$$1 \text{ GeV} \approx 1.6 \cdot 10^{-24} \text{ g}$$

# Information coming from the CMBR



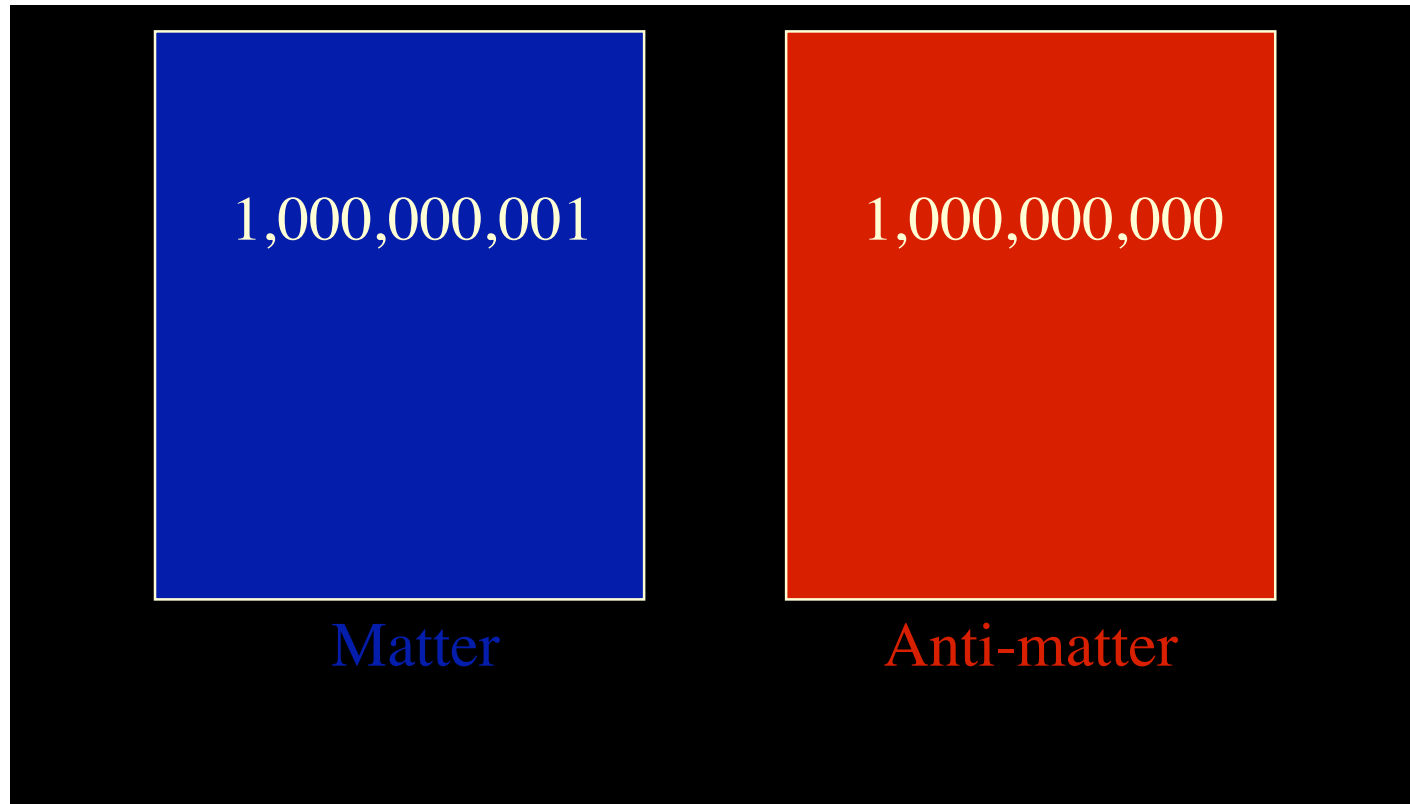
# Experimental evidence

- Baryon Number abundance is only a tiny fraction of other relativistic species

$$\frac{n_B}{n_\gamma} \approx 6 \cdot 10^{-10}$$

- Still, it is much larger than what the situation of equality of baryon and anti-baryon number densities would suggest
- Why is  $\Omega_\Lambda \simeq \Omega_{\text{CDM}} \simeq \Omega_b$  ?
- What generated the small observed baryon-antibaryon asymmetry ?

# Small Asymmetry must be generated primordially



Murayama

Annihilation will occur efficiently and finally the small asymmetry  
will be the only remaining thing left in the Universe

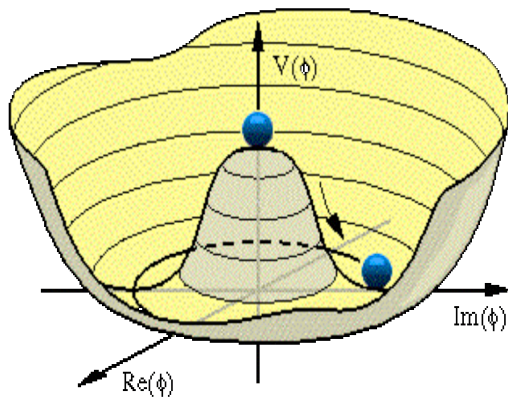


# Baryogenesis

- Under natural assumptions, there are three conditions, enunciated by Sakharov, that need to be fulfilled for baryogenesis. The SM fulfills them :
- **Baryon number violation:** Anomalous Processes
- **C and CP violation:** Quark CKM mixing
- **Non-equilibrium:** Possible at the electroweak phase transition.

# The Higgs Mechanism and the Origin of Mass

A scalar (Higgs) field is introduced. The Higgs field acquires a nonzero value to minimize its energy



Spontaneous Breakdown of the symmetry :

**Vacuum becomes a source of energy = a source of mass**

$$\langle H \rangle = \begin{pmatrix} 0 \\ v \end{pmatrix}$$

*A physical state (Higgs boson) appear associated to fluctuations in the radial direction . Goldstone modes: Longitudinal component of massive Gauge fields.*

*Masses of fermions and gauge bosons proportional to their couplings to the Higgs field:*

$$M_W^2 = \frac{g^2 v^2}{2}, \quad m_{\text{top}} = h_{\text{top}} v \quad m_H^2 = 2\lambda v^2$$

## Non-equivalent Vacua and Static Energy in Field Configuration Space

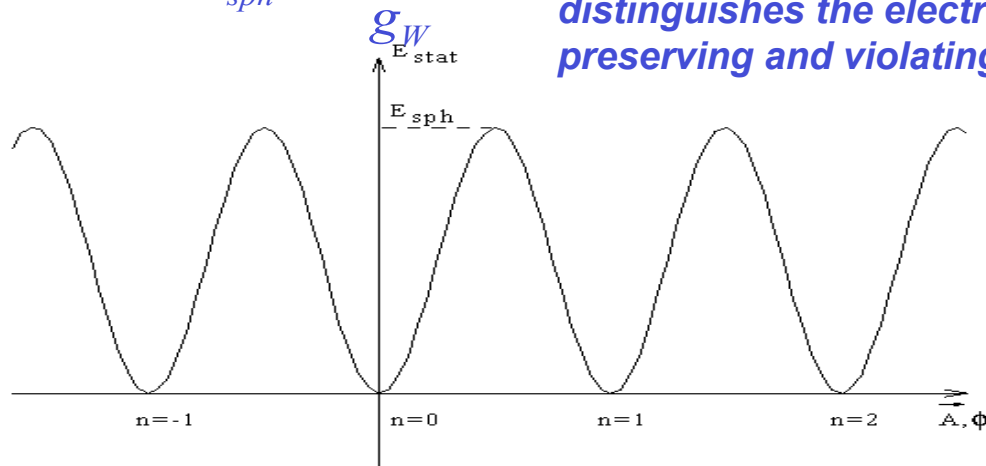
**The sphaleron is a static configuration with non-vanishing values of the Higgs and gauge boson fields.**

**Its energy may be identified with the height of the barrier separating vacua with different baryon number**

$$E_{sph} = \frac{8\pi v}{g_W}$$

**The quantity  $v$  is the Higgs vacuum expectation value,  $\langle H \rangle = v$ .**

**This quantity provides an order parameter which distinguishes the electroweak symmetry preserving and violating phases.**



$$\partial^\mu j_\mu^{B,L} = \frac{N_g}{32\pi^2} \text{Tr} \left( \varepsilon^{\mu\nu\alpha\beta} F_{\mu\nu} F_{\alpha\beta} \right)$$

**Instanton configurations may be regarded as semiclassical configurations for tunnelling effect between vacuum states with different baryon number**

$$S_{inst} = \frac{2\pi}{\alpha_W} \quad \Gamma_{\Delta B \neq 0} \propto \exp(-S_{inst})$$

**Weak interactions: Transition amplitude exponentially small.  
No observable baryon number violating effects at  $T = 0$**

# Baryon Number Violation at finite T

- Anomalous processes violate both baryon and lepton number, but preserve  $B - L$ . Relevant for the explanation of the Universe baryon asymmetry.
- At zero T baryon number violating processes highly suppressed
- At finite T, only Boltzman suppression

$$\Gamma(\Delta B \neq 0) = \Gamma(\Delta L \neq 0) \propto AT \exp\left(-\frac{E_{\text{sph}}}{T}\right) \quad E_{\text{sph}} \propto \frac{8\pi v}{g}$$

# Baryon Asymmetry Preservation

If Baryon number generated at the electroweak phase transition,

$$\frac{n_B}{s} = \frac{n_B(T_c)}{s} \exp\left(-\frac{10^{16}}{T_c(\text{GeV})} \exp\left(-\frac{E_{\text{sph}}(T_c)}{T_c}\right)\right)$$

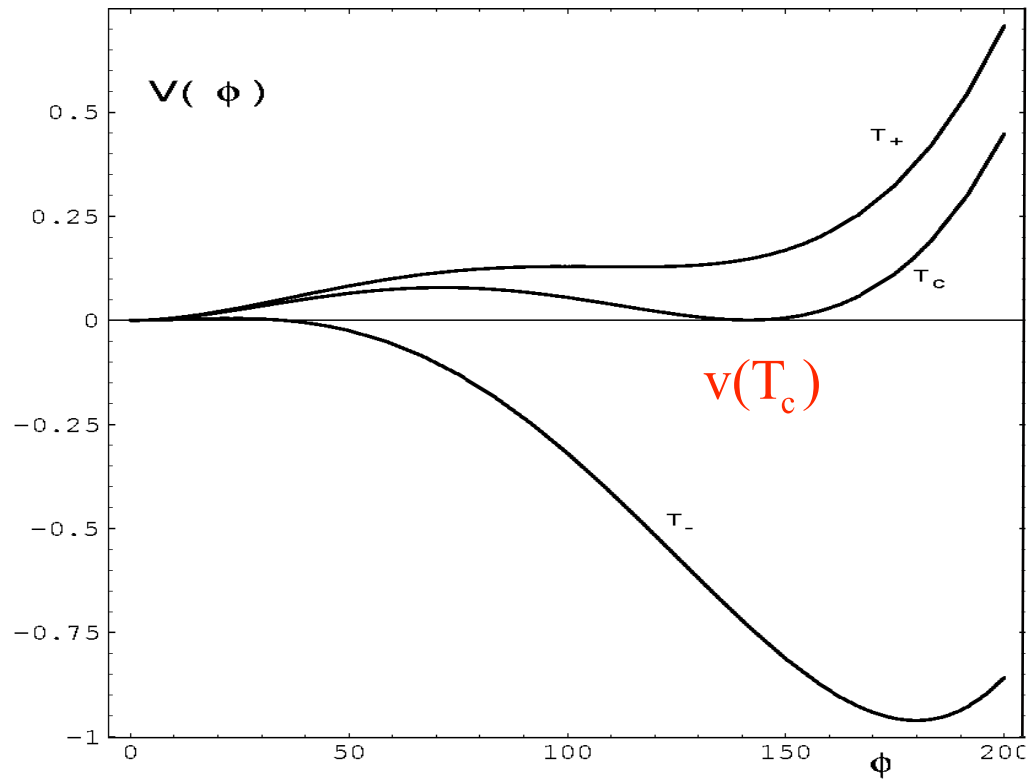
Kuzmin, Rubakov and Shaposhnikov, '85—'87

Baryon number erased unless the baryon number violating processes are out of equilibrium in the broken phase. Therefore, to preserve the baryon asymmetry, a strongly first order phase transition is necessary:

$$\frac{v(T_c)}{T_c} > 1$$

# Electroweak Phase Transition

*Higgs Potential Evolution in the case of a first order  
Phase Transition*



# Finite Temperature Higgs Potential

$$V(T) = D(T^2 - T_0^2)\phi^2 - E_B T \phi^3 + \frac{\lambda(T)}{2} \phi^4$$

*D receives contributions at one-loop proportional to the sum of the couplings of all bosons and fermions squared, and is responsible for the phenomenon of symmetry restoration*

*E receives contributions proportional to the sum of the cube of all light boson particle couplings*

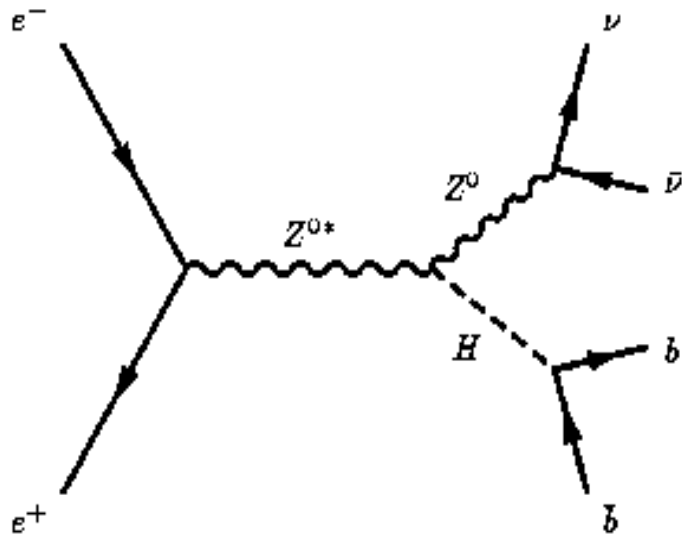
$$\frac{v(T_c)}{T_c} \approx \frac{E}{\lambda}, \quad \text{with} \quad \lambda \propto \frac{m_H^2}{v^2}$$

*Since in the SM the only bosons are the gauge bosons, and the quartic coupling is proportional to the square of the Higgs mass,*

$$\frac{v(T_c)}{T_c} > 1 \quad \text{implies} \quad m_H < 40 \text{ GeV.}$$



**If the Higgs Boson is created , it will decay rapidly into other particles**



**At LEP energies mainly into pairs of b quarks**

**One detects the decay products of the Higgs and the Z bosons**

**LEP Run is over**

- No Higgs seen with a mass below 114 GeV**
- But, tantalizing hint of a Higgs with mass about 115 -- 116 GeV (just at the edge of LEP reach)**

***Electroweak Baryogenesis in the SM is ruled out***

# CP-Violation sources

- Another problem for the realization of the SM electroweak baryogenesis scenario:
- Absence of sufficiently strong CP-violating sources
- Even assuming preservation of baryon asymmetry, baryon number generation several order of magnitudes lower than required

$$\Delta_{CP}^{max} = \left[ \sqrt{\frac{3\pi}{2}} \frac{\alpha_W T}{32\sqrt{\alpha_s}} \right]^3 J \frac{(m_t^2 - m_c^2)(m_t^2 - m_u^2)(m_c^2 - m_u^2)}{M_W^6} \frac{(m_b^2 - m_s^2)(m_s^2 - m_d^2)(m_b^2 - m_d^2)}{(2\gamma)^9}$$

$$J \equiv \pm \text{Im}[K_{li}K_{lj}^*K_{\nu j}K_{\nu i}^*] = c_1c_2c_3s_1^2s_2s_3s_\delta.$$

$\gamma$  : Quark Damping rate

Gavela, Hernandez, Orloff, Pene and Quimbay'94

# Baryogenesis and New Physics

- As Dark Matter and Dark Energy, then Baryogenesis does not have a natural explanation within the Standard Models of particle physics and cosmology
- New mechanisms should be invoked. Many of these mechanism use the baryon and lepton number violation induced by the sphaleron processes.
- New sources of CP-violation are also necessary
- And new processes, going out of equilibrium at some point in the evolution of the Universe

# Generic Scenarios: Leptogenesis

- Neutrinos have tiny masses. These can be naturally explained if they proceed from the Yukawa coupling of ordinary neutrinos with heavy Majorana neutrinos

$$M_\nu \simeq Y^T M^{-1} Y v^2$$

- These heavy Majorana neutrinos decay into ordinary leptons through lepton and CP-violating processes induced by the Majorana mass and the complex Yukawa couplings, respectively
- The resulting lepton asymmetry is then converted into a baryon asymmetry via the anomalous processes, which try to erase B+L, and conserve B-L.
- This generic mechanism of generation of baryon number from lepton number is called leptogenesis, and is very attractive since it is tied to the mechanism of neutrino mass generation

# Generic Scenarios: Electroweak Baryogenesis

- Like in the Standard Model, assume that baryogenesis is generated by processes occurring at the **electroweak phase transition**
- Attractive models will be those associated with the new physics responsible for the explanation of **electroweak symmetry breaking** and the generation of mass (and hopefully dark matter)
- Again, new sources of **CP-violation** are necessary
- **Testable in near future experiments**

# Alternative Scenarios

- The baryon asymmetry may be generated already in the inflationary period
- Or after sphaleron processes are out of equilibrium.
- Or it may result from a violation of Lorentz or CPT symmetries.
- Or....

# Aim of the Workshop

- In this workshop, many **different scenarios** for the generation of the baryon asymmetry will be presented.
- We hope to get an understanding of the feasibility of each scenario, as well as the what are the **observable consequences of these scenarios**
- Many talks will be dedicated to variations of **leptogenesis** (5 talks) and **electroweak baryogenesis** (6 talks), but a large number of talks (8 talks) will cover **other scenarios**
- I am quite confident that we will all learn from this exercise !